Docket No.

237565US0

IN RE APPLICATION OF: Christine MEUNIER

SERIAL NO: 10/812,956

FILED:

March 31, 2004

FOR:

COSMETIC COMPOSITON CONTAINI ATTY ACID GLYCERIDE, AN ALCOHOL AND A SILICONE

**EMULSIFIER** 

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is a Preliminary Amendment in the above-identified application.

- No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- Additional documents filed herewith: Information Disclosure Statement; PTO 1449; Cited References (23)

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS		RATE		CALCULATIONS
TOTAL	22	MINUS	23	0	х	\$50	=	\$0.00
INDEPENDENT	1	MINUS	3	0	х	\$200	=	\$0.00
		☐ MULTIPL	E DEPENDENT	CLAIMS	+	\$360	=	\$0.00
			TOTAL	OF ABOVE CA	LCU	JLATIC	NS	\$0.00
		☐ Reduction	by 50% for filing	by Small Entity				\$0.00
		☐ Recordation	on of Assignment		+	\$40	=	\$0.00
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☐ A check in the amount of <b>\$0.00</b> is attach	he	attac	is	<u>.00</u>	<u>\$0.(</u>	f	t (	amoun	the	ın	neck	. C	Α	Ш
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- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Richard I **Treanor** 

Registration No. 36,379

**Customer Number** 

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Jeffrey B. McIntyre Registration No. 36,867 Docket No.

237565US0

# IN THE UNITED TATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Christine MEUNIER

SERIAL NO:

: 10/812,956

GAU:

1615

FILED:

March 31, 2004

**EXAMINER:** 

FOR:

COSMETIC COMPOSITON CONTAINING A FATTY ACID GLYCERIDE, AN ALCOHOL AND A SILICONE

**EMULSIFIER** 

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Richard/L. Treanor

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Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Jeffrey B. McIntyre

Registration No. 36,867

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SHEET 1 OF 2

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APPLICANT	APPLICANT									
LIST OF REFERENCES CITED BY APPLICANT Christine MEUNIER	Christine MEUNIER									
FILING DATE GROUP	GROUP									
March 31, 2004 1615	1615									
U.S. PATENT DOCUMENTS										
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INITIAL NUMBER CLASS IF A	APPROPRIATE									
AA 2004/0219123 11/4/04 Astruc, et al.										
AB 2002/0064540 5/30/02 Allec, et al.										
AC 2002/0054928 5/9/02 Picard-Lesboueyries										
AD 5,552,446 9/3/96 Candau, et al.										
AE 6,007,799 12/28/99 Lee, et al.										
AF 6,294,157 9/25/01 Rubinstenn, et al.										
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AO JP2003-81757 3/19/03 Japan (English Abstract Provided)	X									
AP JP2003-81758 3/19/03 Japan (English Abstract Provided)	×									
AQ JP2000-53555 2/22/00 Japan (English Abstract Provided)	Х									
AR JP7-256086 10/9/95 Japan (Equivalent to US 5,552,446)	Х									
AS JP10-324616 12/8/98 Japan (Equivalent to EP0875235)	X									
AT EP 0 875 235 11/4/98 EPO (Equivalent to JP10-324616) X										
AU EP 1 216 685 6/26/02 EPO (Equivalent to JP2002-322045) X										
AV JP2002-518421 6/25/02 Japan (English Abstract Provided)	×									
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)										
English Translation of Japanese Office Action dated August 9, 2005 for Application No. 2004-114619, 6 pp.										
AW										
AX										
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AZ Additional References	sheet(s) attached									
Examiner Date Considered										
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation	if not in									

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	AO	JP2002-138032	5/14/02	Japan (English Translation Attached) (E to CA2351925)	.quivalent	×					
	AP	CA 2 351 925	12/27/01	Canada		Х					
	AQ	WO 03/011243	2/13/03	WIPO (Equivalent to US 2004/0219123	· .		Х				
	AR	JP2002-80341	3/19/02	Japan (Equivalent to US 2002/0064540) (English Abstract Provided)			×				
	AS	JP2001-114632	4/24/01		Japan (Equivalent to US 6,294,157) (English		х				
	AT	JP2002-80323	3/19/02	Japan (Equivalent to US 2002/0054928 Abstract Provided)	) (English		X				
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	AV	JP2002-322045	11/8/02	Japan (English Translation Attached) (E to EP1216685)	Х						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)											
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	AZ			Additional References sheet(s) atta			rences sheet(s) attached				
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